

An Innovative Deep Learning Framework Integrating Transfer-Learning And Extreme Gradient Boosting Classifier For The Classification Of Breast Ultrasound Images

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Abstract - Breast cancer is the most happening death from cancer around the globe. Early identification improves the chances of complete recovery and increases life span, but it is a long-drawn process that frequently leads to pathologists disagreeing. Computer-assisted diagnosis methods could increase diagnostic precision. In this work, we present an innovative and hybrid form of deep Convolutional Neural Network (CNN) based learning integrated with traditional machine learning for classifying Breast Ultrasound (BUS) images. Feature extraction by transfer learning and classification via the Extreme Gradient Boosting (XGBoost) classifier helped us with the task of classifying BUS images in our work. The Non-Local Means (NLM) filter is used to preprocess BUS images. This experiment makes use of the Kaggle Breast Ultrasound Images Dataset. We report 96.7% accuracy, 96% AUC for benign vs rest, 98% AUC for malignant vs rest, 98% AUC for normal vs rest, and precision/recall/F-score is, 100%/96%/96% for benign class, 95%/97%/96% for the malignant class and 95%/98%/97% for the normal class. This method, in our opinion, surpasses other commonly used automatic BUS image classification algorithms.

Keywords: Convolutional Neural Network (CNN), Breast Ultrasound (BUS) images, Extreme Gradient Boosting (XGBoost), Non-Local Means (NLM) filter, AUC, precision/recall/F-score.

1. INTRODUCTION

Cancer disorders are the second biggest mortality cause, the world over, accounting for 9.6 million deaths in 2018. Among such disorders breast cancer is reported to be the most dangerous and extremely prevalent kind of cancer, causing almost 6 lakh deaths per annum. The death rate is reduced when cancer is detected and treated early. The ducts, which are tubes that supply milk to the nipple, and the lobules, which are milk-producing glands, are perhaps the more frequent locations where a cancer region is detected in the breast. Among the various imaging

modalities available, ultrasonography is suggested for breast cancer prognosis at an early stage.

For the past forty years, ultrasound is well known for its ability to identify cancerous regions in the breast. Ultrasonography, in addition to mammography, has been shown to increase sensitivity for detecting breast cancer in recent years, especially in women with denser breast tissues, predominantly in young women. Breast ultrasonography is progressively increasing prominence in the physical examination of women considered to have a higher susceptibility to breast cancer, thanks to the introduction of novel technologies such as shear wave elastography and contrast-enhanced ultrasound. Although ultrasound takes more time from the radiologist and is operator-dependent, it does not use ionizing radiation, gives greater soft-tissue contrast, and can guide a biopsy instrument in real-time, unlike mammography. Though some studies estimate a prediction positive rate of less than 5%, adding ultrasonography to a screening exam may still produce a significant amount of incorrect positive predictions.

In order to increase the radiologist's sensitivity when performing mammography, computer-aided diagnosis (CAD) software has shown promising outcomes. A lack of medically approved technology for widespread use in breast ultrasonography creating positive findings in recent studies made the problem worse. In recent years, artificial neural networks have shown promising outcomes for a variety of applications [1-4]. With the competence of a human in detection and classification steps, a collection of algorithms known as deep learning has just quickly evolved in quality management in the field of the manufacturing industry [5]. Deep learning techniques handling images belonging to the field of biomedical images fail mainly due to the need for enormous quantities of superior quality train and test dataset, which includes annotated images marked as masks or Ground Truth (GT). ROI texture information gets muddled due to speckle noise, making it

unable to detect malignancy concerns. As a result, creating or employing a good filter can help reduce speckle noise, which helps for quick extraction of features and segmentation later. Again, segmentation can be problematic due to the machine-created artifact. Finally, it may be challenging to find powerful features for predicting cancer risk.

However, feature extraction still heavily depends on the knowledge of radiologists. The difficulty of manually creating characteristics from images drove researchers to develop more recent techniques capable of automatically inferring distinguishable features from images. Deep learning is a technique that helps the extraction of non-linear features. Deep learning models have been demonstrated to be very effective in the classification of ultrasound images when pattern identification by hand is challenging [6,7].

Several researchers have given several ways for automated classifications of breast ultrasound images for cancer diagnosis throughout the last few decades. In this regard, some researchers have developed clustering-based algorithms that use the circular Hough Transform as well as a variety of statistical variables for image segmentation and image classification [8-10]. Histopathological image analysis methods are quickly expanding throughout the field of biomedical image analysis, but a significant need still exists for an automated model capable of producing effective findings with better accuracy [11-13].

Deep learning is a technique that was developed to effectively extract pertinent data from unprocessed images and use it for classification tasks for overcoming all limitations found in existing techniques of the traditional machine learning approach. Deep learning does not require manual feature tuning; instead, it uses a general-purpose learning approach to learn from data sets. Recently, Convolution Neural Network (CNN) achieved a lot of success in the medical field, such as meiosis cell detection from microscopy images [14,15], detecting the presence of tumours [16], segmenting neural membrane [17], skin disease, and classification [18], immune cell detection and classification [19], and mass quantification in mammograms [20].

The CNN application performs well with large data sets, but it struggles with small data sets. Individual CNN architectures can improve their performance after combining the intellect using the concept of transfer learning [21,22], resulting in higher classification accuracy and decreased computation costs. Using pre-trained deep

CNN, features are extracted from general images and then applied directly to domain-specific and smaller data sets [23]. Training is performed in 2 epochs for single and overlapping regions using the novel transfer learning method known as context-based learning, and it is exceptionally good at identifying and classifying breast cancer [24]. Transfer learning has been used in the proposed framework to overcome shortcomings in existing malignant tumor detection and classification systems. The following is a summary of this paper's primary contribution:

1. Reduce speckle noise with the help of the Non-Local Means (NLM) filter.
2. Extract features with the help of transfer learning using VGG16.
3. Classification of BUS images using XGBoost classifier.

The paper comprises seven sections. The most recent literature review of the classification of BUS images is mentioned in Section 2. Section 3 provides a short description of the data used in this work. The suggested paradigm and technique are discussed in Section 4, which contains subsections on preprocessing and augmentation of data, the architecture of VGG16, and the technique of transfer learning. The details of how the technique is actually implemented are discussed in Section 5. Section 6 gives a clear picture of the result acquired after implementing the proposed approach, as well as its performance evaluation. Lastly, in Section 7, we bring the study to a close and offer recommendations for additional research.

2. Literature Review

Using support vector machines (SVM) and discriminant analysis, R. Rodrigues et al. [25] established a fully automatic method for the segmentation and classification of Breast Ultrasound images. To classify the pixel values of BUS images acquired after performing a bunch of image processing methods of multiple resolutions for different values, applying a high pass filter, non-linear diffusion operation, applying a low pass filter, and 2 mean curvature forms from Gaussian filtering are used, but the object's edge is strengthened by the use of a filling technique [25].

For the diagnosis and classification of breast cancer, the technique called Linear Discriminant Analysis

(LDA) [26] and Logistic Regression (LOGREG) [27] are two often used linear classifiers. LDA's main purpose is to obtain the optimum linear combination of features for distinguishing two or more data classes. [28] utilized LDA to examine data of 400 samples which have 4 features generated automatically. The average area under the ROC curve for 11 independent experiments was 0.87. In a database of 58 individuals, LOGREG was used to assess the risk of cancer [29].

Back-Propagation Neural Networks (BPN) [30], Self-Organizing Maps (SOMs) [31], and Hierarchical Artificial Neural Networks (ANN) [32] are three types of neural networks extensively used in the prognosis and classification of breast cancer.

Once the parameters have been established during the learning phase, a decision tree could be modeled as a classification tool. The method is much easier and quicker to implement than artificial neural networks [33]. It does, however, rely largely on the creation of non-terminal node classification rules and threshold values. The decision tree construction method C4.5 [34] is well-known. This algorithm is commonly used in artificial intelligence and is included in the free WEKA classifier package (where it is referred to as J48). C5.0 is an upgraded version of C4.5 with several new features. The decision tree in [35] was created using algorithm C5.0 for 153 data samples of training and testing data of 90 samples. The covariant coefficient of ROI was used as a feature in the decision tree, with an accuracy of 96 percent (86/90), a sensitivity of 93.33 percent (28/30), and a specificity of 96.67 percent (58/60) on the testing data set, respectively.

Texture features have been utilized explicitly in the form of feature vectors to estimate the similarity score in [36], and the downside is the need for a database that should be from the same platform. In [37], a basic set of images were created from the entire database using Principal Component Analysis (PCA), and every image was described by a linear combination of images from the basic set that was given weights. The newly obtained vector utilized for determining the similarity score was the weight vector. With the help of images from a variety of sources, this strategy worked wonderfully.

3. Dataset

The experiment is based on the Kaggle Breast Ultrasound Images Dataset [38]. Breast ultrasound scans of women aged 25 to 75 are collected as part of the baseline data. This information was gathered in 2018. There are 600

female patients in all. The total number of images is 780 with an average resolution of 500x500. The majority of BUS images are in grayscale. At Baheya Hospital, they were acquired and kept in a DICOM format. Over a year, the images were acquired and annotated. There are three categories in the BUS dataset: benign, malignant, and normal as demonstrated in table 1.

Case	Number of images
Benign	487
Malignant	210
Normal	133
Total	780

Table 1: The dataset used in the study

To that time, 1100 photos had been obtained, but 780 were left after preprocessing. The original images contain insignificant data that cannot be classified in bulk. The result varies with such insignificant information. The scanning operation was carried out using the LOGIQ E9 ultrasound system and the LOGIQ E9 Agile ultrasound system [38]. These technologies are commonly found in high-quality image acquisition for radiology, cardiology, and cardiovascular care applications. The images are produced with a resolution of 1280x1024 pixels. 1e5 MHz transducers are used in the ML6-15-D Matrix linear probe [38]. All images are in PNG format.

4. Proposed Framework

This section explains the proposed approach for extracting features from BUS images and classifying them as normal, benign, or malignant, which is based on CNN architecture and the XGBoost classifier. The Non-Local Means Filter (NLM), created by Buades [39], is used to minimize the speckle noise in BUS images. Many of the low-level characteristics in the proposed model are extracted by VGG16 trained on the ImageNet. The features are then sent to the XGBoost classifier for classification, as shown in Fig 1.

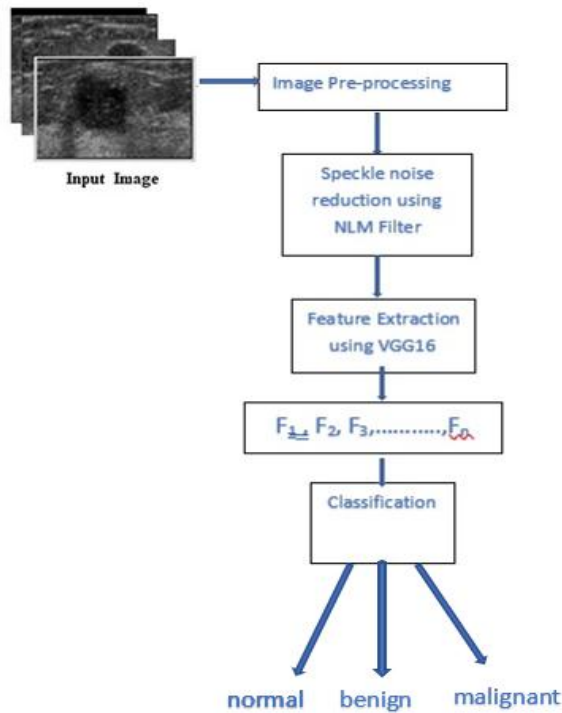


Fig 1: Proposed method

The following subsections give the proposed method in great detail.

4.1. Pre-processing of BUS images and data augmentation

This stage is mandatory to decrease speckle noise in BUS images. Speckle reduction is a major preprocessing step for obtaining, analyzing, and distinguishing information from medical images taken using ultrasonography. In the proposed method, the NLM filter presented in [39] is used to decrease speckle noise.

For CNN to enhance its accuracy, it needs a lot of data. Furthermore, CNN's performance diminishes with a small quantity of data due to the over-fitting problem. This suggests that the network excels on the training dataset but fails miserably for the test dataset. To enlarge the data set and eliminate over-fitting concerns, the proposed method uses a data augmentation technique [40,41]. In this, the quantity of data is increased by executing spatial-geometric modifications to the dataset using simple and efficient image transformation techniques. In this way, RGB value adjustment, intensity transforms (translation, scale operation, rotating of images), flip operation, and adding noise disturbance all contribute to the image data set [41].

4.2. Extraction of relevant features using pre-trained VGG16

A Visual Geometry Group16 (VGG16) architecture is used initially for extracting features in the proposed system to classify breast cancer in BUS images. The features could contain several of them retrieved from a single feature descriptor; which could represent shape descriptors like circularity, roundness, compactness, and so on.

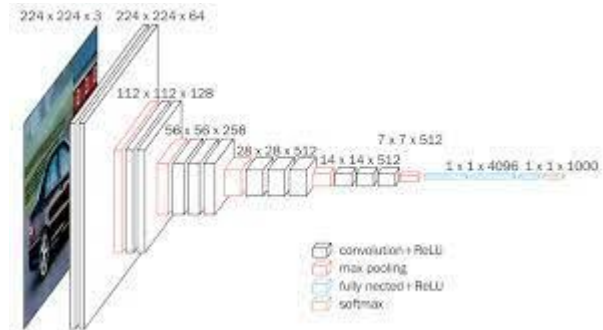


Fig 2: VGG 16 architecture (adopted from [42])

Based on transfer learning theory, this architecture is first pre-trained for a number of generic image descriptors for images in ImageNet, then appropriate feature extraction from BUS images [43] is done.

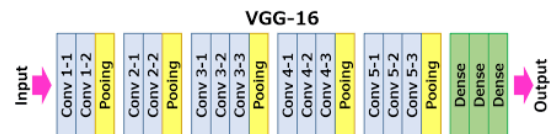


Fig 3: Layers in VGG 16

VGG16's layers are shown in Figure 3. The number 16 in VGG16 stands for 16 weighted layers. VGG16 comprises thirteen convolutional layers, five Max Pooling layers, and three Dense layers, for a total of twenty-one layers, but only sixteen weight layers, which are learnable or trainable parameters layers. The input tensor size for VGG16 is 224, 224 with 3 RGB channels. The most mentionable feature of VGG16 it does not have a large number of hyper parameters. The convolution filter size is 3x3, padding is the same, the stride is 1, the max pool size is, 2x2, and, stride 2.

The convolution and maximum pool are arranged in a regular pattern in the entire architecture. The first convolution layer has a filter size of 64, the second layer has a filter size of 128, the third layer has a filter size of 256,

and the fourth and fifth layers have a size of 512. Then comes the three Fully Connected (FC) layers.

VGG16 trained on images from the ImageNet is used for extracting features from our BUS images without including the top layers, i.e., FC layers.

4.3. Transfer learning

In order to train a CNN a big amount of data is needed, yet compiling a large data collection of relevant problems can be difficult in some cases. In most real-world applications, the scenario is different, and gathering matching training and testing data is a tough task. The phrase "transfer learning" was coined as a result. It is a very popular method used in the traditional Machine Learning (ML) approach in which a prior knowledge needed to answer one problem is learned and reused on subsequent problems. Before being applied to the target task, which should be learned on the target dataset, the base model has been built on the relevant dataset for that task [44].

The two key steps in the transfer learning process are the choice of the network model, the scale of the challenge, and the similarity score. To decide on which model to utilize, the problem linked to the target is identified and used. A high risk of overfitting exists for datasets related to the medical field, motor vehicle department, fingerprint analysis, etc. where the target and source are almost the same [45]. Similarly, if the dataset is larger and the source and target are similar, then it is unlikely, and the pre-trained model only has to be fine-tuned.

The suggested system makes use of VGG16 to share its transfer learning and fine-tuning properties. VGG16 was trained for images from ImageNet with the help of transfer learning. As a result, the architecture may learn generic features from a variety of data sets without the need for further training. The XGBoost classifier employs decision tree-based classification to identify the normal, malignant, and benign BUS images using the number of features collected separately from the CNN architecture.

4.4. XGBoost classifier

The Extreme Gradient Boosting classifier, called XGBoost [46] classifier is used to classify the BUS images as normal, benign, or malignant. It is a technique that is suitable for classification as well as regression modeling, extensively used in traditional machine learning problems. It's a group of decision trees that have been boosted by gradients. Gradient boosting is a technique for developing

new models that forecast something called a residual or error of the earlier model, which is later combined for reaching a final prediction. In this type of boosting operation, a gradient descent technique is employed to reduce errors [47].

5. Implementation Details

To expedite the process, the method was written in Python and tested on the Google Colab environment. The deep learning models were created using the PyTorch package. VGG16 is imported using the Keras application library. We utilized the XGBoost library to create the XGBoost classifier. To optimize the loss, we tried different parameter values to improve the accuracy of the model. Table 2 shows the suggested model's hyperparameter configuration in detail.

Model	Hyperparameter	Value
XGBClassifier	n_estimators	100
	Max_depth	3
	Min_child_weight	1.0
	learning_rate	0.1
	colsample_bylevel	1.0
	colsample_bytree	1.0
	subsample	1.0
	reg_alpha	0
	reg_lambda	1.0

Table 2: Hyperparameter's values of XGBClassifier

6. Experimental results and discussion

The experimental results for our proposed model are presented in this section. The accuracy score is the major evaluation metric we use. Precision, recall, F1 score, and AUC score are also reported. To generate the aforementioned metrics, the predicted class is compared with the actual class.

Using the VGG16 model trained in the ImageNet, we extracted image characteristics during stage 1 of training. Then the XGBoostClassifier was used for training on these features. On the test set, the XGBoostClassifier after VGG16 yielded remarkable results, with a 96.67% accuracy. The confusion matrix for the suggested hybrid model is shown in Figure 4. We have noticed that only a few

data sets have been misclassified. We find that the XGBoost classification head over VGG16 has a lower false-positive rate; this is important in the medical field because a patient can be treated as one with the disease by conducting more checkups to rule out the disease than to rule out a sick one after the incorrect prediction of fitness [45].

Two essential measures for validating a model used to diagnose in the medical field are sensitivity measure and specificity measure. The confusion matrix can be used to interpret these metrics (Figure 4).

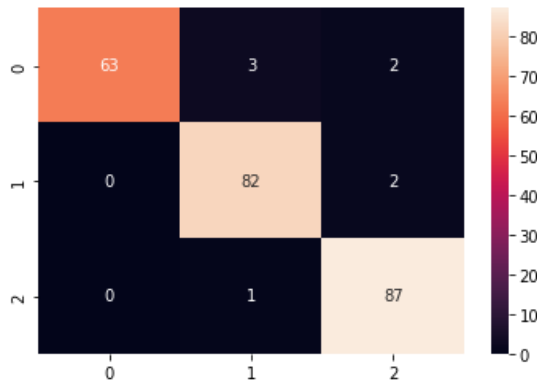


Fig 4: Confusion Matrix 0: benign, 1: malignant, 2: normal

Various performance metrics for the classification result analysis are depicted in Table 3.

Class type	Precision	Recall/ Sensitivity	F1 score	Specificity	Accuracy
Benign	1.0	0.9692	0.96	0.9604	96.7 %
Malignant	0.95	0.9762	0.96	0.9873	
Normal	0.95	0.9886	0.97	0.9935	

Table 3: Performance metrics based on Confusion Matrix

TPR (True Positive Rate) and FPR (False Positive Rate) are AUC/ROC (Area Under the Curve/Receiver Operating Characteristics) measurements that assist estimate how much information the model learns and how effectively it can distinguish between classes. TPR = 1 and FPR = 0 in the perfect condition. The ROC curve obtained for the proposed model for the test data sample is shown in Figure 5.

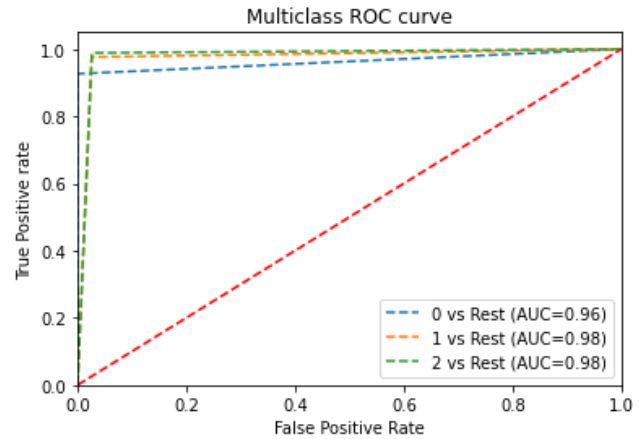


Fig 5: The Receiver Operating Characteristics (ROC) curve for the proposed model 0: benign, 1: malignant, 2: normal

An AUC value close to one suggests that the model is highly separable. The AUC score for each of the classification cases is shown in table 4.

	AUC
Benign vs rest	0.96
Malignant vs rest	0.98
Normal vs rest	0.98

Table 4: AUC score

This demonstrates suggested model has high separability and properly classifies the vast majority of the cases in the test data set with fewer errors. Furthermore, FPR is near zero and the TPR is near one, indicating that the model is working well.

7. Conclusion & Future work

We introduce a VGG16 (CNN backbone) and XGBoost-based hybrid classification model in this paper. We extract features from BUS images using the fine-tuned VGG16 model. These learned characteristics are fed into an XGBoost model, which serves as the decision-maker for classification. The suggested hybrid model is able to diagnose breast cancer with 96.7% accuracy and reliability.

If we have a huge amount of training data, the deep learning approach is ideal. With minimal data, feature engineering and traditional machine learning will yield improved accuracy (eg, SVM or Random Forest). Simultaneously, you can construct your features by utilizing Gabor to add a bunch of filters or filter banks. The strategy

we use as a feature extractor is to use a pre-trained CNN, in this case, VGG16 trained on ImageNet. With only a few training images, this method produces better results.

We are unable to further examine the model's performance on comparable datasets because there aren't any publicly accessible BUS image datasets. In order to advance research on this topic, we will try to eventually obtain a sizable dataset else create a synthetic dataset with the help of Generative Adversarial Networks. We can figure out which algorithms work best for our data by experimenting with alternative algorithms and then utilize that information to increase the accuracy of our model. Another technique to improve accuracy is to explore different neural network architectures and identify the one that best suits our data.

Making the model more interpretable should be a primary focus of future studies. Despite our efforts to comprehend the model using feature maps, the problem remains essentially unsolved. In order for the model to support the classification choice, we would like to make it more explicable in the future. This improved interpretability level of the prognostics model will impart further credibility to the medical practitioners and patients.

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