Coherence-based numerical differential current relay for synchronous generator stator windings protection

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Abstract:

A novel protective scheme for stator windings of synchronous generators is presented. The proposed method utilizes coherence coefficients for currents at both stator winding terminals to detect the fault condition in the AC power system, to determine which phase is faulty and to specify whether the fault is internal or external. The suggested scheme has developed closed-tripping characteristics to identify the relay tripping and blocking zones. Extensive fault situations are simulated using ATP software; then the protective scheme is verified using MATLAB package. In this method, the fault detection and classification are not affected by the fault types, fault locations, fault resistances, fault time span, fault inception times, and initial operating conditions. Moreover, it is smart and reliable; it can be practically implemented and gives fast time response (about half a cycle). In addition, the settings of the coherence coefficients can be selected in a narrow boundary. Besides, the proposed scheme can detect the ground faults near the neutral terminal of SG windings with high grounding impedance.

Keywords: Synchronous generator; Fault detection; Fault classification; Internal fault; External fault; Coherence coefficient.

1. Introduction

Due to the fact that Synchronous Generator (SG) is the most critical equipment in power networks, fault detection and classification are essential to avoid outages and blackouts [1-2]. As a result, many protection methods were proposed to protect SGs against various types of faults. Several researches and applications were processed for SGs protection in the literature. Faults in the SG are commonly classified as internal and external faults. The common internal faults may be phase to phase and phase to ground faults in stator windings, whereas external faults are those which occur outside the generator's protection zone, which may be caused by short circuits, over loading or unbalanced loads [3]. The conventional protection schemes consist of differential and stator earth-fault relays; those are known to be slow in clearing the faults unless the fault develops and

techniques such as wavelet transforms were developed in [11-12]. Recently, Artificial Neural Network (ANN) technique was proposed for fault detection in SG stator windings [13]. The ANN technique is provided for detecting various internal, external and ground faults, which are close to neutral point. Other algorithms using a fuzzy logic controlled neural network were introduced in [14-17]; the algorithms utilized the fault generated transients for internal and external faults discrimination. The ANN and fuzzy logic were used for turn-to-turn fault detection, fault type classification and fault location identification [18-19]. In [20], the authors presented support vector machine-based fault detection and classification for electrical AC machines using vibration waves. Fault detection in Synchronous Generators (SGs) based on independent component analysis and machine learning was introduced in [21]. current reaches the operating value [4]. Faults near neutral point, cannot be detected by earth-fault relays, especially for SG with high impedance grounding neutral due to insufficient voltage to drive the fault current [4]. To overcome these limitations, several techniques were proposed as in [5-7]. The paper in [7] presented a protection technique for stator windings against earth faults using the third harmonic voltage at the neutral and the terminals of the SG. Fault detection and classification schemes using statistical approaches such as correlation and alienation techniques were presented in [8-10]. SG protection methods based on signal processing

This paper presents a novel protection scheme for SG stator windings based on coherence analysis. The coherence coefficients are estimated for current signals measured at both terminals of three phase stator windings, which can be used for fault detection, faulty phase(s) selection, and fault location discrimination with respect to the generator protection zone. The proposed algorithm presents new tripping characteristic curves, utilizing coherence coefficients, to identify the relay tripping and blocking regions.

2. Synchronous generator modeling

Modeling of internal faults in synchronous generator cannot be built directly in the ATP program. When dynamics of the machine is not required, sinusoidal voltage source model Type-14 can be used [22-23]. Thus, the package model Type-14 is easier for modeling SG to analyze the internal faults located on stator windings. In fact, the voltage source (Type-14) can be used for internal fault simulation during only the sub-transient period. The fault time interval of 3 cycles is sufficient for evaluating the proposed protection scheme. This was verified via the extensive case studies of ATP simulation presented in [23]. It has been proved that the shortcircuit current, the 3-ph voltages and currents generated from three sources of Type-14 are identical to those obtained from the source of Type-SM59 during only the sub-transient period [24].

3. Proposed protection algorithm

3.1 Coherence index computation

$$
Ci(k) = \frac{\sum_{n=0}^{N-1} X(k) \times Y^*(k)|^2}{\sum_{n=0}^{N-1} |X(k)|^2 \times \sum_{n=0}^{N-1} |X(k)|^2} (1)
$$

$$
X(k) = \sum_{n=0}^{N-1} \left[x(n)\cos(\frac{2\pi k n}{N}) \right] - j \sum_{n=0}^{N-1} \left[x(n)\sin(\frac{2\pi k n}{N}) \right] (2)
$$

$$
Y(k) = \sum_{n=0}^{N-1} \left[y(n)\cos(\frac{2\pi k n}{N}) \right] - j \sum_{n=0}^{N-1} \left[y(n)\sin(\frac{2\pi k n}{N}) \right] (3)
$$

$$
X(k) = a(k) - j b(k) (4)
$$

$$
Y(k) = u(k) - j v(k) (5)
$$

Where,

Ci (*k*): The coherence coefficient calculated between the two time-series signals $(x(n)$ and $y(n))$ at a given frequency (*k*); the formula yields real value,

n: The sample number, n= 0, 1,…(*N*-1),

X(k): The Discrete Fourier Transform (DFT) of timeseries signal $'$ *x(n)*'; the formula yields one complex number *X(k)* for every *k*,

Y(k): The Discrete Fourier Transform (DFT) of timeseries signal ' $y(n)$ '; the formula yields one complex number *Y(k)*for every *k*,

$$
Ci(k) = \frac{\left[(\sum_{n=0}^{N-1} a(k) \times u(k) + b(k) \times v(k))^{2} + (\sum_{n=0}^{N-1} a(k) \times v(k) - b(k) \times u(k))^{2} \right]}{\sum_{n=0}^{N-1} [(a(k))^{2} + (b(k))^{2}] \times \sum_{n=0}^{N-1} [(u(k))^{2} + (v(k))^{2}]}
$$
(6)

Where,

Tc: The cycle time period, (*Tc*= 20 *mSec*),

A coherence is a measure of the degree of relationship, as a function of frequency, between two time-series signals/sets. The coherence, sometimes called Magnitude-Squared Coherence (MSC), between any two signals/sets is a [real-](https://en.wikipedia.org/wiki/Real_number)valued function; its values lie between 0.0 and +1.0 [25-26]. The magnitude of coherence is +1.0 if the two signals correspond to each other perfectly at a given frequency, (i.e. perfect [coherent](http://www.its.bldrdoc.gov/fs-1037/dir-008/_1057.htm) signals), while, if they are totally unrelated, the magnitude of coherence will be 0.0 (i.e. i[ncoherent](http://www.its.bldrdoc.gov/fs-1037/dir-008/_1057.htm) signals). If the coherence coefficient is less than one, but greater than zero, it indicates noise/harmonic content in the measurements. This means that the function relating the two signals is not linear. The coherence function can be described using the following mathematical formula [27-28]:

k: The frequency component number; $k = 0, 1, \ldots (N-1)$,

N: number of samples involved in one window,

j: The imaginary unit,

*: Denotes complex conjugation.

a(k): Cosine coefficient of the DFT for signal *x(n), b(k):* Sine coefficient of the DFT for signal *x(n), u(k):* Cosine coefficient of the DFT for signal *y(n),* and *v(k):* Sine coefficient of the DFT for signal *y(n).* The following mathematical equation represents the final form, used in the proposed algorithm, for calculating the coherence coefficient (*Ci* (*k*)):

$$
\omega = \frac{2\pi k}{N} \qquad (Rad / Sec)
$$

$$
\omega = \frac{2\pi}{N.T_s} \qquad (Rad / Sec)
$$

$$
f = \frac{k}{N} \qquad (Hz)
$$

$$
f = \frac{1}{N.T_s} \qquad (Hz)
$$

 F_c : The fundamental frequency of one periodic cycle, $(F_c =$ 50 *Hz*),

Ts: The sampling time interval, (*Ts*= 0.2 *mSec*),

Fs: The sampling frequency, (*Fs*= 5 *kHz*).

Nc: The number of samples per cycle for each signal (*x(n)* and $y(n)$, $(N_c = T_c/T_s$ and $N_c = F_s/F_c = 100$ Samples/cycle).

3.2 Phase angle estimation

The calculated phase angle (φ_{12}) is directly related to the magnitude of coherence. If the phase angle is random between two time-series signals, then coherence coefficient is equal zero. When coherence is computed with a reasonable number of degrees and approaches

To estimate the phase shift angle (*φ12*) between the two signals $(x(n)$ and $y(n)$ for every frequency component *(k)*, the following equation can be applied [29]:

$$
\varphi_{12}(k) = \tan^{-1} \left[\frac{\sum_{n=0}^{N-1} [a(k) \times v(k) - b(k) \times u(k)]}{\sum_{n=0}^{N-1} [a(k) \times u(k) + b(k) \times v(k)]} \right] (7)
$$

unity, then the phase angle between the two time-series signals becomes meaningful because the interval of phase is a function of the coherence value and the degree of freedom. The phase relation determines, for two timeseries signals, one is lag or lead from each other.

Table 1: Coherence coefficient limits of current signals for different fault types and the relay action.

3.3 Proposed algorithm procedure

Fig. 1 presents the flow chart of the new protection scheme based on coherence coefficients. The algorithm performs three simultaneous tasks: fault detection, classification and localization. The procedure of the proposed algorithm can be described in the following steps:

(1) Read the sampled 3-phase currents signals at both ends of SG stator windings required to be protected (six current signals sampled at 5 kHz).

(2) Calculate the coherence coefficient (*Cis12)* for the two current signals (*is1 (n)* and *is2 (n)*) measured at both stator winding ends of phase *'S'*. Each coefficient is estimated between each two corresponding windows of the two currents (*is1* and *is2*) of phase *'S'.*

(3) The sudden changes in the three coherence coefficient values can be analyzed and used to detect the fault condition, to identify the faulty phase(s) and to select the fault location.

(4) To discriminate between the DLG and DL faults, another three coherence coefficients (*Ciab, Cibc,* and *Cica*) are required; they are computed between the two currents of the faulty phases taken at neutral or load side of SG stator windings. The coefficients (*Ciab, Cibc,* and *Cica*) are evaluated for the three pairs of current signals (*ia1 (n)* and *ib1 (n)), (ib1 (n)* and *ic1 (n))* and *(ic1 (n)* and *ia1 (n)*), respectively.

(5) Also, the coherence coefficients $(Ci_{ab}, Ci_{bc}$ and Ci_{ca} can be used to discriminate between normal operation and the fault conditions. Ideally, the values of these coefficients are *0.25* at normal operation. Hence if their

values are out of the margin 0.*25 ± Δ*, then the system condition is faulty.

(6) The action of the protection algorithm follows the listed conditions in Table 1.

3.4 Proposed tripping-characteristics design

Figs. 2(a-c) present the characteristics of the proposed protective algorithm. Each characteristic is depicted for each pair of coherence coefficients for current signals. The characteristic has two zones: a blocking zone for normal operation or external fault condition and a tripping zone for internal fault condition. The processes of fault detection, faulty phase(s) identification and fault location discrimination (internal or external) start altogether in parallel with a maximum execution time of 10 mSec (i.e. half a cycle).

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Fig. 3: Synchronous generator connected to a power system network.

4. Simulated power system

A power system model consisting of a synchronous generator, which feeds the loads through a step down transformer and double circuits of transmission lines, is considered for simulation. The model is shown in Fig. 3. The pre-fault operating conditions and parameters of the simulated power system are given in Appendix 1 and Appendix 2 [29].

5. Simulation findings and analysis

Different types of short circuits have been simulated and tested using ATP and MATLAB for the case studies of sections 5.1 to 5.4 considering different fault types, fault locations (0-100%) on stator windings, fault resistances (0-20 Ω), fault inception angles (0-90^o) and fault zones (internal/external). The total simulation time is 0.2 Sec (i.e. *Nsim* = 1000 samples); and the fault inception time is set to t_f = 0.102 Sec (i.e. N_f = 510 samples). It is assumed that the SG is loaded before the fault inception. The sampling frequency is $f_s = 5.0$ kHz (i.e. $h = 0.0002$ Sec).

5.1 Case 1: SLG external fault

A single line-to-ground (*SLG*) fault is inserted at the location of point F_2 between the primary side of the stepup transformer and load 2. For this case, the fault resistance is $1 Ω$, the grounding impedance of the generator neutral point is 1Ω and the fault inception angle is 36° . Fig. $4(a-c)$ show the 3-phase secondary

currents at both terminals of SG stator windings. The current magnitudes during fault are higher than the prefault current magnitudes for the two phases A' and 'B'; while the current magnitude of phase 'C' during fault is lower than the pre-fault current. This is due to the *SLG* external fault located on the phase 'A' and the delta connection of the primary windings of the transformer. The two current signals, at the ends of stator windings, are identical before and after the fault inception time. Fig. 4(d) shows the delay angles (*Tia12, Tib12* and *Tic12*) evaluated between the three pairs of phase currents. It is observed that the three angle differences are zero degrees because the fault location is external. The 3 phase coherence coefficients (*Cia12, Cib12* and *Cic12)* are shown in Fig. 5(a) to be equal and close to one before and after the fault instant. The values of these coefficient*s* confirm that the system condition is either external or normal operation. The calculated values of coherence coefficients (*Ciab, Cibc,* and *Cica*) are balanced and close to 0.25 before the fault starting. While they are unbalanced during fault with values between 0 and 0.8, as shown in Fig. 5(b). Thus, these unbalanced values prove that the fault is external. The proposed technique prevents the tripping of generator CB(s) in the case of external fault condition. Fig. 5(c) shows the blocking flag, which is zero in this case. Fig. 5(d) shows the operating point on the characteristics due to the SLG external fault. It is noticed that the values of $(Ci_{a12}, Ci_{b12}$ and Ci_{c12} on the three characteristics are identical and located at the value of one.

Fig. 4(a): Current signals *ia1* and *ia2*.

Fig. 4(b): Current signals i_{b1} and i_{b2} .

Fig. 4(c): Current signals *ic1* and *ic2*.

Fig. 4(d): Delay angles between the two current signals of each phase.

Fig. 5(a): Coefficients (*Cia12, Cib12* and *Cic12).*

Fig. 5(b): Coefficients (*Ciab, Cibc* and *Cica).*

Fig. 5(c): Blocking flag in case 1.

Fig. 5(d): Proposed scheme blocking on the tripping characteristics.

Fig. 5(a-d): Simulation results of case 1.

5.2 Case 2: SLG fault at 100% phase 'A' of stator winding

A SLG internal fault is simulated at 100% of stator windings away from the neutral point. In this case, the fault location is changed to SLG internal fault on phase 'A' through a fault resistance R_f = 1 Ω. Fig. 6(a-c) presents the curves of three-phase secondary currents at both stator windings terminals. The two phase-current's magnitudes of the healthy phases; '*B*' and '*C*', before and during the fault interval, are identical. The current magnitude of *ia1*, for the faulty phase '*A*', during the fault period is higher than *ia2* and the pre-fault current magnitudes. Fig. 6(d) shows the shift angle deviations (Ti_{a12} , Ti_{b12} and Ti_{c12}) evaluated between the three pairs of the three phase currents, at both stator winding ends, respectively. The three angle differences are zero before the fault occurrence. During fault, *Tia12* is greater than 70o, while *Tib12* and *Tic¹²* remain zero because the fault type is SLG internal fault for phase 'A'. The calculated six coherence coefficients $(Ci_{a12}, Ci_{b12}, Ci_{c12}, Ci_{ab}, Ci_{bc}$ and Ci_{ca} , the tripping signal, the proposed scheme operation on the tripping characteristics are shown in Fig. 7(a-d). The calculated values of the three coherence coefficients (*Cia12, Cib12* and *Cic12)* are fixed close to one before fault inception. During fault period, the values of coefficients (*Cib12* and *Cic12)* are still fixed; whereas the values of the coefficient (*Cia12)* lie between the values of 0 and 0.8, as shown in Fig. 7(a). The values of coherence coefficient*s* $(Ci_{a12}, Ci_{b12} \text{ and } Ci_{c12})$ prove that the system load is balanced before fault inception and the fault type is SLG internal fault on the phase 'A'. The estimated values of coherence coefficients (*Ciab, Cibc,* and *Cica*) are fixed at 0.25 before the fault time, but they are unsymmetrical during fault, where their values range between 0 and 1.0, (see Fig. 7(b)). Thus, the six coherence coefficients confirm that the system status is internal fault. A tripping signal is sent for isolating the generator CB(s) as shown in Fig. 7(c). The operating points on the tripping characteristics in case of SLG internal fault are depicted in Fig. 7(d).

Fig. 6(a): Current signals *ia1* and *ia2*.

Fig. 6(b): Current signals i_{b1} and i_{b2} .

Fig. 6(c): Current signals i_{c1} and i_{c2} .

Fig. 6(d): Delay angles between the two current signals of each phase.

Fig. 6(a-d): Current signals taken at both terminals of SG stator windings and their delay angles for case 2.

Fig. 7(a): Coefficients (*Cia12, Cib12* and *Cic12).*

Fig. 7(b): Coefficients (*Ciab, Cibc* and *Cica).*

Fig. 7(c): Tripping flag in case 2.

Fig. 7(d): Proposed scheme operating point on the tripping characteristics.

5.3 Case 3: SLG fault at 10% phase 'A' of stator winding

In this case, a SLG fault is placed 10% away from the neutral point of phase '*A*' winding with a fault resistance of 1 Ω. The algorithm has correctly classified the fault incident as an internal fault. This is proven in the simulation results explained in this case. Fig. 8(a-c) shows the three pairs of secondary currents at neutral and load sides for SG stator windings. The current signals of phases 'B' and 'C' obtained at stator winding ends are identical and their magnitudes during fault time are the same magnitude of the pre-fault current. It can be noticed that the current signals (*ia1* and *ia2*) of the faulty phase '*A*'

at both ends of the stator winding are not similar. The current amplitude of *ia2* are larger than the current amplitude of *ia1* as shown in Fig. 8(a). The estimated coherence coefficients (*Cia12, Cib12*, *Cic12, Ciab, Cibc,* and *Cica)*, the tripping signal and the scheme operating point on the tripping characteristics are introduced in Fig. 9(a-d). The calculated values of coherence coefficients (*Cia12, Cib12* and *Cic12)* are fixed at one before fault inception. For the faulty phase 'A', *Cia12* is less than *0.1* during fault period. While the coherence coefficients of *Cib12* and *Cic12* remain at the value one during fault, as shown in Fig. 9(a). The calculated values of coherence coefficients (*Ciab, Cibc,* and *Cica*) are balanced and close to 0.25 before fault, while they are unbalanced during fault (see Fig. 9(b)). The condition of the SLG fault at 10% of phase 'A' winding leads to a trip signal to be sent for isolating the generator CB(s) with the fault beginning, at the sample number of 510 as shown in Fig. 9(c). The operating points in this case are plotted on the tripping characteristics in Fig. 9(d).

Fig. 8(b): Current signals i_{h1} and i_{h2} .

Fig. 8(c): Current signals *ic1* and *ic2*.

Fig. 8(a-c): Current signals taken at both terminals of SG stator windings for case 3.

Fig. 9(a): Coefficients (*Cia12, Cib12* and *Cic12).*

Fig. 9(a-d): Simulation results for case 3.

5.4 Case 4: SLG fault at 5% of phase 'A' stator winding

This is a case of an internal SLG fault occurred at 5% of phase '*A*' stator winding away from the neutral point and the fault resistance is $1 Ω$. Fig. $10(a-c)$ presents the waveforms of the 3-phase secondary currents at neutral and load sides for SG stator windings. It can be observed that the current signals (*ia1* and *ia2*) of the faulty phase '*A*' at the ends of the stator windings are different. The current *ia2* has a larger value due to the short circuit occurrence. Whereas the phase current magnitudes of the healthy phases '*B*' and '*C*' during fault are identical with approximately the same values as those of the prefault current. Fig. 11(a-d) shows the estimated six coherence coefficients $(Ci_{a12}, Ci_{b12}, Ci_{c12}, Ci_{ab}, Ci_{bc}$ and Ci_{ca}), the tripping signal, and the operating protection scheme on the tripping characteristics, respectively. The calculated values of the coherence coefficients (*Cia12, Cib12* and *Cic12)* are constant and close to one before the fault inception time. During the fault period*,* the values of *Cib12* and *Cic12* are fixed and close to one whereas *Cia12* values are less than *0.2*, as shown in Fig. 11(a). The values of the coherence coefficients (*Ciab, Cibc,* and *Cica*) are equal and close to 0.25 before fault, while they are greater than *0.27*during fault, as shown in Fig. 11(b). The values of $(Ci_{q12}, Ci_{h12}$ and Ci_{c12} confirm that the fault type is SLG internal fault and the values of (*Ciab, Cibc,* and *Cica*) indicate to existing unbalance condition for the three phase currents of the stator windings. Due to the internal fault event, a tripping signal is issued to isolate the protected generator. The scheme sets a trip signal for opening the generator $CB(s)$, as shown in Fig. 11(c). Fig. 11(d) shows the scheme operation on the tripping characteristics in the case of SLG fault at 5% of phase 'A' stator winding. The response of the coherence function, in this case and in the previous cases, proved that the proposed technique is able to determine the healthy and faulty phases and discriminate between the internal and external faults.

Fig. 10(a): Current signals i_{a1} and i_{a2} .

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Fig. 10(b): Current signals i_{b1} and i_{b2} .

Fig. 10(c): Current signals *ic1* and *ic2*.

 $\frac{4}{9}$ 0.6 $^{0.4}$ $rac{2}{6}$ 0.3 α 0.1

Fig. 11(c): Tripping flag in case 4.

Fig. 11(d): Proposed scheme operating points on the tripping characteristics.

Fig. 11(a-d): Simulation results for case 4.

6. Advantages of the proposed differential current protection

The proposed scheme, for SG stator windings protection, has several advantages as given below:

(1) It is a novel protection scheme, based on the coherence analysis for the current signals measured at both SG stator windings terminals, against series and shunt faults,

(2) Only the 3-phase current measurements, at both SG stator windings ends, are required to implement this scheme,

(3) It can be processed on-line for faults detection and classification,

(4) It has closed tripping characteristics with a quadratic form, utilizing coherence coefficients, to determine the relay blocking and tripping zones for generator protection,

(5) It is simple, reliable and practical for protection of SG stator windings and the other power system elements,

(6) It has a fast response, (10 ms for a 50-Hz power system),

(7) The operation speed of the algorithm is controllable by the moving window used for calculating the coherence coefficients,

(8) It can adjust the pickup value of the coherence coefficients by selecting the coherent setting deviation,

(9) The algorithm's sensitivity is controllable via the coherence setting deviation and the moving data window size,

(10) It is not affected by the fault type, fault location, fault resistance, fault inception angle nor pre-fault power levels,

(11) Harmonics and DC components are digitally filtered with the data window used for computing the coherence coefficients,

(12) The coherence setting deviation and the data window concept can be used for amending the relay attributes such as security, dependability, stability, sensitivity and speed,

(13) The approach is able to differentiate between double line and double line-to-ground faults,

(14) Suitable for smart grid applications with different voltage levels for protecting the various power system components.

7. Conclusions

This paper presents a novel protection scheme based on coherence concept for SG stator windings. Six currents are measured at both SG stator windings ends which is sufficient to process this scheme. The coherence algorithm is used to detect, classify the fault, determine the faulty phase(s) and discriminate the location of fault, whether it is internal or external. New tripping characteristics, for the proposed protection algorithm, have been developed to determine the tripping and blocking zones for generator CB(s). In the proposed scheme, fault detection and identification have been performed in less than a half-cycle of the fundamental power frequency. The power system model, with real parameters, is simulated and verified using ATP and MATLAB software. The simulation results confirmed that the approach is smart, simple, reliable, accurate, fast and efficient at different operating and loading conditions. Also, the proposed algorithm is not affected by the fault type and location, fault resistance, fault inception time or pre-fault conditions. In addition, it can be practically implemented, with the availability to control the operation speed by adjusting the setting values of coherence coefficients according to the prevailed conditions of the power network. This makes the coherence approach a good candidate to compete with,

or even to replace, the conventional numerical protection methods.

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Appendix 1: Nomenclatures

Appendix 2: Pre-fault operating conditions of the simulated power system for each case study.

Appendix 3: Parameters' data of the simulated power system.

